



KNOW YOUR CLIENT FORM
PRIVATE AND CONFIDENTIAL INFORMATION FOR INTERNAL USE

I. GENERAL INFORMATION:

Full Name of the Company:	Place of Incorporation:	Date of Incorporation:
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Registration Number:	Valid upto:	Domiciled in:
Tax Residence:	Tax Identification Number (TIN):	Primary and secondary business activity:

Country (s) where you perform your business activities:
Country (s) from where you get your incomes:
Name and address of Supervisory Authority with whom the Company is registered:

II. CLIENT TYPE:

- Existing Client or New Client
- Cedant or Broker
- Other type of Entity: _____

III. CONTACT INFORMATION:

Physical Address:	City/State:	Country:
Telephone No.:	Website:	
Email Address:	Referred by:	

IV. REFERENCES:

Bank Reference:	Physical Address:	Contact Name:
Tel. No.:	Email address:	

Commercial Reference:	Physical Address:	Contact Name:
Tel. No.:	Email address:	

V. Politically Exposed Person Declaration:

Are you connected to one or more persons who hold or have held a senior government, political or military position? (PEP)
Yes No

If yes, please indicate the senior government, political or military position held, and if you are a family member or close associate of such person and what is your relationship to that person:

VI. Company Directors and Shareholders.

1. Directors

Full Name	Nationality	Valid Passport Number	Position

2. Shareholders

Full Name	Nationality	Valid Passport Number	TIN Number (Tax Identification number and/ or National ID)	Total Shares (number) and % of shares

VII. Declaration of Ultimate Beneficial Owner (s) of the Entity: (Please tick the box if any description bellow applies to one or more UBO's)

- Are you U.S. citizen or U.S. national? Yes No
- Are you a U.S. tax resident? Yes No
- Have you spent more than 6 six months in the U.S. within the last year? Yes No

1. Ultimate Beneficial Owner

Full Name (UBO)	Nationality (ies)	Country of Residence (s)	TIN Number (Tax Identification number and/ or National ID)

Valid Passport Number	Date of Birth	Profession or Business Activity

Origin Country of the Source of Funds for this operation	Origin Country of the Source of Personal Wealth

2. Ultimate Beneficial Owner

Full Name (UBO)	Nationality (ies)	Country of Residence (s)	TIN Number (Tax Identification number and/ or National ID)

Valid Passport Number	Date of Birth	Profession or Business Activity

Origin Country of the Source of Funds for this operation	Origin Country of the Source of Personal Wealth

3. Ultimate Beneficial Owner

<i>Full Name (UBO)</i>	<i>Nationality (ies)</i>	<i>Country of Residence (s)</i>	<i>TIN Number (Tax Identification number and/or National ID)</i>

<i>Valid Passport Number</i>	<i>Date of Birth</i>	<i>Profession or Business Activity</i>

<i>Origin Country of the Source of Funds for this operation</i>	<i>Origin Country of the Source of Personal Wealth</i>

4. Ultimate Beneficial Owner

<i>Full Name (UBO)</i>	<i>Nationality (ies)</i>	<i>Country of Residence (s)</i>	<i>TIN Number (Tax Identification number and/or National ID)</i>

<i>Valid Passport Number</i>	<i>Date of Birth</i>	<i>Profession or Business Activity</i>

<i>Origin Country of the Source of Funds for this operation</i>	<i>Origin Country of the Source of Personal Wealth</i>

5. Ultimate Beneficial Owner

<i>Full Name (UBO)</i>	<i>Nationality (ies)</i>	<i>Country of Residence (s)</i>	<i>TIN Number (Tax Identification number and/or National ID)</i>

<i>Valid Passport Number</i>	<i>Date of Birth</i>	<i>Profession or Business Activity</i>

<i>Origin Country of the Source of Funds for this operation</i>	<i>Origin Country of the Source of Personal Wealth</i>

VIII. TERMS AND CONDITIONS

- The Client undertakes to provide any information required by OCEAN INTERNATIONAL REINSURANCE COMPANY LIMITED hereinafter OCEAN RE, in the seeking to comply with all regulations and business continuance.
- The Client certifies that he, the owners, shareholders or ultimate beneficial owners of the Company/Foundation, or any directors, officers, administrators or Foundation Council members appointed by him/her have never been arrested, detained, investigated or accused of any criminal offense relating to drug trafficking, money laundering, arms dealing, terrorist activities or any other illegal activity, or filed for bankruptcy, been the subject of any pardon, amnesty or any other legal action as a result of these situations.
- The Client accepts that he/she is responsible for taking the appropriate steps and establishing control measures to ensure the legitimacy of his/her activities and the source of his/her funds, at the time of the Client’s acquisition of OCEAN RE products or at the time of his/her request for services from OCEAN RE.
- OCEAN RE reserve the right to verify any business, bank or personal references submitted.
- The Client authorizes OCEAN RE to share, give and provide confidential information belonging to the Client if required to do so by formal request from a competent authority and in accordance with the law, and the Client relieves OCEAN RE from any liability arising as a result of any information revealed under these circumstances.
- The Client confirms that has a business relationship with the person on behalf of whom he or she is offering a business intermediation for.

- The Client confirms that practices the measures of “know your customer, which” bounds the client to have information about the identity of the third parties on behalf of whom he or she is requesting the registered agent’s services in connection with one or more corporations, even though when the stock certifies representing the title deed of the corporation are issued to the bearer.
- Upon request from OCEAN RE, the Client agrees to provide information regarding the identity of the customer on behalf of whom he/she is acting, according to the requirements and procedures established in the legislation of the jurisdiction where the operations are performed.
- The Client undertakes to inform OCEAN RE in the event of any change in the directors, officers, administrators, Foundation Council members or beneficial owners; to the Regulations, Articles of Incorporation, authorized capital of the company, foundation assets, or any other change affecting the operation of the legal entity. The Client shall keep a record containing evidence of the verification and identity of the directors, officers, Foundation Council members, or beneficial owners of the foundation or the company for a minimum period of five (5) years following the termination of the business relationship with the Client.
- The undersigned indicates that he/she agrees with the terms and conditions set forth herein and voluntarily accepts the same.

The Client declares under oath that the information provided above is complete and true and that in the event OCEAN RE become aware that all or any part of the information provided is false, they shall have the power to terminate any services provided. Notwithstanding the foregoing, OCEAN RE shall be reimbursed for any expenses incurred or disbursements made on behalf of the Client in relation to any work carried out or expenses incurred by OCEAN RE on behalf of the Client prior to the termination of the relationship.

IX. Please include the following documents:

1. Copy of goodstanding, abstract from registry, certificate of incorporation or equivalent
2. Copy of the Register of directors and shareholders of the company
3. Copy of valid passports of directors, shareholders, and beneficial owners of the company
4. Copy of the last 3 years audited financial statements
5. Copy of valid tax residence certificate

Only for Regulated Entities:

- Copy of license and certification of qualification from Insurance Regulatory Authority
- Copy of recent rating report certificate

Signature

Position

Print Name

Date & Place

For the exclusive use of the Compliance Department:

Relationship Manager:	Branch (es):	Related Party (ies):
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Approved by: _____

Date: